

Financial Interest Form: Screening Questions: DOE

Name: _____

PI Name: _____

Department: _____

Project Title: _____

Transmittal Number: _____

This form must be completed for proposal submissions to the U.S. Department of Energy (DOE) or National Nuclear Security Administration (NNSA).

Each Investigator* is responsible for answering "Yes" or "No" to the following question. Disclosures must be completed prior to proposal submission.

Do you, your spouse, and/or your dependent child(ren) have any of the following financial interests related to your institutional responsibilities?

- Receipt of income or other payment for services over the past 12 months from and/or equity interest(s) in a publicly traded entity totaling more than \$5,000.
- Receipt of income or other payment for services over the past 12 months from a non-publicly traded entity totaling more than \$5,000
- Any equity interest(s) in a non-publicly traded entity, regardless of value
- Receipt of payments for any intellectual property rights and interests (e.g., from patents, copyrights assigned to any entity other OU) totaling more than \$5,000
- Reimbursed or sponsored travel (excluding U.S. government agencies or U.S. institutions of higher education)

Yes – Please go to the LEO Conflict of Interest module, submit all interests that meet the above, and then complete the certification below.

No – Complete the certification below.

Certification: I understand that this Disclosure is required to obtain funding from the U.S. Government. I, _____, certify to the best of my knowledge and belief that the information contained in this Disclosure Statement is true, complete, and accurate. I understand that any false, fictitious, or fraudulent information, misrepresentations, half-truths, or omissions of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims, or otherwise. (18 U.S.C. §1001 and 287, and 31 U.S.C. 3729-3730 and 3801-3812). I further understand and agree that (1) the statements and representations made herein are material to U.S. Government's funding decision, and (2) I have a responsibility to update the disclosures during the period of performance of the award should circumstances change which impact the responses provided above.

Signature

Date